Sheet 1 of 1

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| INFORMATION DISCLOSURE CITATION     |  |   | APPLICANT                         |             |             |                          |  |
| INFURI                              | WATION DISCLOSU  | Allen et al.  | Allen et al.                      |             |             |                          |  |
| (Use several sheets if necessary)   |  |   | FILING DATE August 15, 200        | )1          |             | GROUP ART UNIT 3692      |  |
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|                                     | A Taxonomy of Automated Trade Execution Systems, Ian Domowitz, Journal of International Money and Finance, (1993), 12, pp 607-631. |   |                                   |             |             |                          |  |
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